## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	2	("20030033228"   "20030084053").PN.	US-PGPUB; USPAT	OR	ON	2008/04/08 10:53
\$2	0	(risk near automated near detection) and (investor and data)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S3	0	(risk near automated near detection) and (investor)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S4	1	(risk near automated near detection)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:17
S5	211	(risk near detection) and (automated)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:21
S6	0	(investment near risk near detection)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S7	176	(investment near risk) and (detect\$4)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S8	0	(investment near risk) and (detect\$4) and (automat*)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:25
S9	121	(investment near risk) and (detect\$4) and (automat \$3)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S10	71	(investment near risk) and (detect\$4) and (automat \$3) and (alert)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S11	71	(investment near risk) and (detect\$4) and (automat \$3) and (alert) and (data)	US-PGPUB; USPAT	AND	ON	2008/04/09 15:26
S12	71	(risk near detection) and (automated) and (alert)	US-PGPUB; USPAT	AND	ON	2008/04/09 16:35
S13	13	("2003/0084053").URPN.	USPAT	OR	ON	2008/04/10 15:45
S14	1	("2003/0033228").URPN.	USPAT	OR	ON	2008/04/10 15:52
S15	0	(10/262648).CCLS.	USPAT; USOCR	OR	OFF	2008/04/10 15:57
S16	3	10/262648	US-PGPUB; USPAT	OR	ON	2008/04/10 15:57
S17	5	(predict\$4 near (outcome or result or risk)) near (investment)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:19

S18	271	(predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:25
S19	0	(scenerio) and (predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:52
S20	129	(scenario) and (predict\$4 near (outcome or result or risk)) and (investor)	US-PGPUB; USPAT	OR	ON	2008/04/10 16:52
S21	10	("4713775"   "4745468"   "4872122"   "5774656"   "5862223"   "5875108"   "6094674"   "6223165"   "6366956"   "6446200"). PN. OR ("7155510").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/10 17:16
S22	4	(detect near risk) and (security and investment) and data	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:10
S23	0	(detect near (risk and problem and issue)) and (security and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:18
S24	57	(detect near (risk or problem or issue)) and (security and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:18
S25	41	(detect near (risk or problem or issue)) and (stocks and investment)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:42
S26	0	(detect near (trading near risk))	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:57
S27	0	detect near (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:57
S28	205	(trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 08:58
S29	19	(detect or spot or identify or discover or notice) near (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 09:01
S30	42	(detect or spot or identify or discover or notice) same (trading near risk)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 09:05
S31	2	("2004/0215545").URPN.	USPAT	OR	ON	2008/04/11 10:15
S32	383	(detect near (risk or problem or issue)) and (stocks)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:23

S33	383	(detect near (risk or problem or issue)) and (stock)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:23
S34	4	(detect near (risk or problem or issue or behavior)) SAME (trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:30
S35	112	(detect near (risk or problem or issue or behavior)) and (trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:30
S36	1	(detect near (risk or problem or issue or behavior)) and (securit\$3 near trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:35
<b>S</b> 37	31	((detect or discover or identify or notice) near (risk or problem or issue or behavior)) and (securit\$3 near trading)	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/11 10:37
S38	2251406	2002/0123949 A1	US-PGPUB; USPAT	OR	ON	2008/04/11 15:46
S41	1	10/024128	US-PGPUB; USPAT	OR	ON	2008/04/11 15:47
S42	1	08/791724	US-PGPUB; USPAT	OR	ON	2008/04/11 16:00
S43	0	("20030084053A1"   "10341073"   "10379425"   "10665712").PN.	USPAT	OR	ON	2008/04/14 08:26
S44	0	("20030084053"   "10341073"   "10379425"   "10665712").PN.	USPAT	OR	ON	2008/04/14 08:27
S45	3344	705/35.CCLS.	US-PGPUB; USPAT	OR	ON	2008/04/15 17:07
S46	6	("5918217"   "6018722"   "6064985"   "6405179"   "6484151"   "6601044"). PN. OR ("7249080").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/16 11:01
S47	45	("4346442"   "4823265"   "5126936"   "5132899"   "5193056"   "5262942"   "5612682"   "5644727"   "5675746"   "5884286"). PN. OR ("6064985").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2008/04/16 11:03
S48	1	("6064985").PN.	USPAT; USOCR	OR	OFF	2009/01/06 16:20
S49	57	(risk adj detection) and (Stock)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:43

S50	183	(risk adj detection) and (Stock or securit\$3)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:46
S51	153	(risk adj detection) and (securit\$3)	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:48
S52	10	(risk adj detection) and (securit\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:50
S53	22	(risk adj detect\$3) and (securit\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 17:55
S54	13	(risk adj detect\$3) and (market) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 18:07
S55	25	(risk adj detect\$3) and trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/01/06 18:08
S56	13	switch\$3 with "mutual funds"	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 17:31
S57	0	switch\$3 with "mutual funds" with behavior	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 17:59
S58	5	switch\$3 with "mutual funds" and behavior	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/22 18:13
S59	1	identify with household with (mulitiple or plurality) with account	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/23 17:52
S60	4	identify with (household or family) with (mulitiple or plurality) with account	US-PGPUB; USPAT; USOCR	OR	ON	2009/05/23 17:53
S61	425	exchange with mutual with fund	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 20:45
S62	35	risk with exchange with mutual with fund	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 20:45
S63	0	S62 with (sell and buy)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 20:46
S64	11	S62 and (sell and buy)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 20:46
S65	5	S61 with swap with market	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 21:06

S66	49	risk with swap with trading	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 21:25
S67	8	risk with swap with mutual with fund	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 21:36
S68	345	National with Association with securities with dealers	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 22:58
<b>S</b> 69	31	S68 with "SEC"	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 22:59
S70	0	S69 with (determin\$3 with identif\$3) with (illegal and fraudulent) with activity	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:00
S71	0	S69 with (determin\$3 with identif\$3) with (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:00
S72	0	S69 with (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:00
S73	0	S69 and (determin\$3 with identif\$3) with (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:00
S74	2331	S69 and (determin\$3 with identif\$3) smae (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:01
S75	0	S69 and (determin\$3 with identif\$3) same (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:01
S76	0	S69 and (illegal and fraudulent)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:01
S77	21940	S69 and illegal or fraud\$4	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:02
S78	0	S69 and (illegal and fraud \$4)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:02
S79	0	S69 and (illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:03
S80	2	S69 and (fraud)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:03
S81	94	"NASD" with "SEC"	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:08

S82	1	S81 and (identi\$4 or determin\$3) and (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:30
S83	2275	(identi\$4 or determin\$3) and (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:33
S84	3	\$68 and (identi\$4 or determin\$3) and (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:33
S85	114	(identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:34
S86	0	\$68 with (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:34
S87	0	S68 and(identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:34
S88	0	\$68 and (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:34
S89	0	algorithm with (detect\$3 or identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:35
S90	1	algorithm same (detect\$3 or identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:35
S91	4	computer with (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:36
S92	6	security with (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:37
S93	5	trading with (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:38
S94	6	trading same (identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:39
S95	114	(identi\$4 or determin\$3) with (fraud\$4 and illegal)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/11 23:40
S96	22	(sell with securit\$3) with ((buy or purchase) with securit\$3 with proceed)	US-PGPUB; USPAT; USOCR	OR	ON	2009/11/12 20:25

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